

JUN 13 2002
PATENT & TRADEMARK OFFICE

EC5
MPB #4

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Zhiqiang Zhang and)
Frances E. Lockwood)

Filed: December 21, 2002) Examiner:
Serial No: 10/021,767) GAU:

For: PREPARATION OF STABLE CARBON)
NANOTUBE DISPERSIONS IN LIQUIDS)

Atty. Docket No.: AD207/2001)

**COPY OF PAPERS
ORIGINALLY FILED**

Assistant Commissioner for Patents
Box MISSING PARTS
Washington, D.C. 20231

**CERTIFICATE OF MAILING
UNDER 37 CFR 1.8**

THE UNDERSIGNED CERTIFIES THAT THIS DOCUMENT
IS BEING PLACED IN AN ENVELOPE ADDRESSED TO
COMMISSIONER OF PATENTS & TRADEMARKS, BOX
Missing Parts WASHINGTON, D.C. 20231, AND
DEPOSITED AS FIRST CLASS MAIL, POSTAGE
PREPAID, THIS 6 DAY OF June 2002.

Yelizaveta Klimova Troxler
(Signature)
Klimova
(Typed or Printed Name)

**PATENT APPLICATION TRANSMITTAL LETTER
SUBMISSION OF MISSING PARTS OF APPLICATION
UNDER 37 CFR §1.53
(FILING DATE GRANTED)**

Dear Sir:

In response to the Notice to File Missing Parts mailed on
April 1, 2002, please process the following documents:

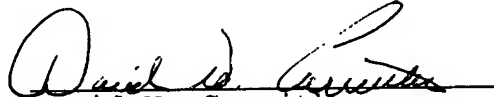
- 1) This firm's checks for \$170 and \$110 for the surcharge for
the Declaration, Petition, and assignment fees;
- 2) Declaration and Power of Attorney;
- 3) an original copy of the Notice To File Missing parts of
Application; and
- 4) Assignment with cover sheet;

- 5) Petition Requesting Extension of Time; and
- 6) A return postcard showing receipt of the above items.

Having now complied with all of the requirements of 37 CFR §1.53, Applicant respectfully requests that the application be placed upon the files for examination.

Respectfully submitted,

Date 6/6/02



David W. Carrithers
CARRITHERS LAW OFFICE
One Paragon Centre
6060 Dutchman's Lane, Suite 140
Louisville, KY 40205
(502) 452-1233
Reg. No. 35,475

JUN 13 2002



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/021,767	12/12/2001	Zhiqiang Zhang	AD207/2001

CONFIRMATION NO. 2213

FORMALITIES LETTER



OC000000007758465

CARRITHERS LAW OFFICE
One Paragon Centre, Suite 140
6060 Dutchman's Lane
Louisville, KY 40205

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Date Mailed: 04/01/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

54 h smel
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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